

Arthur D. Felsenfeld



Partner

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Arthur has over 35 years' experience in general commercial litigation, with particular emphasis on securities and broker/dealer litigation and arbitration, accountants' liability, the defense of class and derivative actions, bankruptcy and real estate litigation, and corporate and partnership disputes. Arthur also serves as an arbitrator in commercial, employment and securities matters. He has received an AV rating in Martindale-Hubbell.

REPRESENTATIVE EXPERIENCE

- Representation of accounting firms and securities brokers in litigations, arbitrations and governmental investigations arising out of hedge fund failures
- Representation of securities broker-dealer in arbitrations arising out of customer investments in collateralized mortgage obligations
- Representation of major securities brokerage firm in numerous litigations and arbitrations involving clearing disputes and customer allegations of unauthorized trading, lack of suitability, churning and other trade practices
- Served as an arbitrator in a dispute among members of a limited liability company involving the termination of employment and valuation of ownership interests of a founding member
- Representation of buyers and sellers in litigations and arbitrations arising out of corporate acquisition agreements
- Representation of accounting firms in defense of malpractice actions arising out of audits and other accounting services
- Representation of underwriter in defense of securities and antitrust class actions alleging undisclosed commissions, tie-in arrangements and improper use of analysts relating to allocations of initial public offerings
- Served as sole arbitrator in an AAA arbitration involving the dissolution of a law firm
- Representation of financial advisor in a derivative action challenging a fairness opinion issued by the client in connection with a corporate merger
- Represented an international bank being sued for recovery of over \$24 million by a holder of counterfeit checks
- Represented securities broker-dealer in a SIPC proceeding
- Served as Chairman of an arbitration panel in a FINRA arbitration involving claims between a securities broker-dealer and former employees who established a competing firm
- Representation of securities brokerage firm in arbitrations involving disputes with former employees

INDUSTRIES

Banking/Financial
Services
Finance

PRACTICES

Antitrust Litigation
Appellate
Arbitration/Mediation
Banking/Finance
Litigation
Class Action Litigation
Commercial Litigation
Corporate Compliance,
Investigations and
Defense
Litigation
Professional Liability
Real Estate Litigation
Securities Litigation
Subprime and
Distressed Assets

EDUCATION

JD, 1975, New York
University School of
Law
BS, 1972, *magna cum
laude*, Accounting,
Brooklyn College

ADMISSIONS

New York 1976
US Court of Appeals for
the Second Circuit
US Court of Appeals for
the Eleventh Circuit
US District Court for the
Southern District of New
York
US District Court for the
Eastern District of New
York

Arthur D. Felsenfeld

- Representation of securities broker-dealers and accountants in SEC investigations
- Representation of directors and majority stockholder in stockholders' derivative action brought by minority stockholders

US District Court for the
Northern District of
Florida

PUBLICATIONS

- "Inside the Minds: Emerging Applications for ADR," author of chapter: "International ADR Developments: Innovative Approaches to Resolving New Types of Disputes" (January 2010)
- "'Manifest Disregard' after 'Hall Street': The Early Returns" *New York Law Journal* (September 18, 2008)
- "Soapbox: Federal Arbitration Act Tested" *The Deal* (April 28, 2008)
- "Inadvertent Jury Trial Waiver" *New York Law Journal* (June 27, 1994)

PROFESSIONAL RECOGNITION

- Top 100 New York Lawyers, *Super Lawyers, Metro Magazine* (October 2010 and 2011)
- New York Super Lawyer in Business Litigation, *Metro Magazine* (2007, 2008, 2009, 2010 and 2011)

BRIEFINGS, SEMINARS & SPEECHES

Panelist

"The Impact of Corporate Governance on Financial Reporting and Capital Markets," NYU Stern School of Business (April 29, 2008)

Speaker

"New York City Bar Program for Resolution of Disputes Among Lawyers," New York, New York (May 3, 2012)

"Drafting Arbitration Clauses: Pre-Nuptials for Businesses," New York City Bar, City Bar Center for CLE (April 7, 2010)

"Regulating Broker-Dealers and Other Investment Entities," NYU Stern School of Business (April 20, 2009)

AFFILIATIONS

- Association of the Bar of the City of New York, Arbitration Committee
- American Arbitration Association, Arbitrator
- Financial Industry Regulatory Authority (FINRA), Arbitrator
- New York City Bar, Mediation and Arbitration of Disputes Among Lawyers, Panel Member
- New York State Governor's Judicial Nominating Committee, Assistant Counsel (1982)

PRESS RELEASES

- Arthur D. Felsenfeld Named to 2011 Top 100 New York Lawyers (October 3, 2011)

Arthur D. Felsenfeld

- Andrews Kurth Partners Named in New York Super Lawyers and Rising Stars 2011 (September 20, 2011)
- Andrews Kurth Partners Receive High Accolades in New York Super Lawyers 2010 (October 6, 2010)
- Six Andrews Kurth Partners Named in New York Super Lawyers 2010 (September 21, 2010)
- Six Andrews Kurth Partners Named in New York Super Lawyers 2009 (October 5, 2009)
- Six Andrews Kurth Partners Featured in 2009 Super Lawyers Corporate Counsel Edition (April 14, 2009)
- Four Andrews Kurth Partners Named in New York Super Lawyers 2008 (October 14, 2008)
- Six Andrews Kurth New York Partners Named in New York Super Lawyers 2007 (September 25, 2007)