

Alexander M. Szeto



Associate

1717 Main Street
Suite 3700
Dallas TX 75201

P: 214.659.4758
F: 214.659.4880
alexszeto@andrewskurth.com

Alex represents both public and private businesses in general corporate and securities matters, including mergers and acquisitions, corporate finance, securities regulation, and general business advice. Alex has represented issuers and buyers in private and public securities offerings and private equity fund formation. Alex has also represented registered investment advisors and U.S. and offshore hedge funds with their formation and investment strategies.

REPRESENTATIVE EXPERIENCE

- Represented publicly-traded corporation with numerous transactions, including acquisitions of other corporations, securities and exchange commission reporting and filing, equity and debt financing transactions and general business issues.
- Represented large, publicly-traded corporation in sale of majority-owned cosmetics production subsidiary.
- Represented private equity fund in the acquisition and later sales of air ambulance services company.
- Represented multiple health care services companies in sale transactions to private equity group purchasers.
- Advised NYSE- and NASDAQ-listed companies on various securities law and securities and exchange commission matters.
- Represented various companies in preparation and execution of private and public equity offerings, including initial public offerings.

ARTICLES/PUBLICATIONS

- "Securities Act Reform Rules" [SEC Hot Topics Institute](#) (2005)
- "Down for the Count: The SEC Regulates Hedge Funds" [Wall Street Lawyer](#) (December 1, 2004)
- "Indemnification of Directors and Officers: A Different Side to the Problem of Corporate Corruption" [Wall Street Lawyer](#) (June 1, 2004)

BRIEFINGS, SEMINARS & SPEECHES

- Technology Day: Building Your Business (January 15, 2005)

INDUSTRIES

Technology
Banking/Finance
Venture Capital and
Emerging Companies

PRACTICE AREAS

Banking/Finance
Corporate/Securities
South Asia
Technology and
Emerging Companies

EDUCATION

JD, 2003, University of
Texas School of Law
BS, 2000, Industrial and
Labor Relations, Cornell
University

ADMITTED

Texas 2003

Alexander M. Szeto

- "Buying and Selling the Business: Asset Sales, Stock Sales, Mergers, and Other Tools of the Trade," University of Houston Law Center Conference on "Advising Mid-Sized Businesses" (2007)
- "Securities Act Reform Rules," University of Houston Law Center Conference on "Advising Mid-Sized Businesses" (2005)

PROFESSIONAL/CIVIC AFFILIATIONS

Member

- State Bar of Texas
- Dallas Bar Association
- Dallas Asian American Bar Association